

**INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT Sheet 1 of 5**

Docket No. P5758-US-NP-0

Applicant: Mark A. Carlson and Rowan E. da Silva  
 Serial No: 10/041,883  
 Filed: October 18, 2001  
 For: METHOD, SYSTEM AND PROGRAM FOR CONFIGURING SYSTEM  
 RESOURCES  
 Examiner: Not Yet Assigned P. TRAN  
 Art Unit: 2154-2155

**U.S. PATENT DOCUMENTS**

Exam Init	Cite No.	Patent Number	Kind Code	Patentee or Applicant Name	Issue Date
PBT		20010043617	A1	MCKINNON	11/22/01
PBT		20020113816	A1	MITCHELL, ET AL.	08/22/02
PBT		20020188584	A1	GHANNAM	12/12/02
PBT		20030169289	A1	HOLT	09/11/03
PBT		20030184580	A1	KODOSKY, ET AL.	10/02/03
PBT		2,012,527	B1	BATCHELDER	08/27/35
PBT		2,675,228	B1	BAIRD, ET AL.	04/13/54
PBT		3,571,677	B1	OESCHGER	03/23/71
PBT		4,138,692	B1	MEEKER	02/06/79
PBT		4,228,219	B1	DE JAGER	10/14/80
PBT		4,558,395	B1	YAMADA	12/10/85
PBT		4,665,466	B1	GREEN	05/12/87
PBT		4,721,996	B1	TUSTANIWSKYJ	01/26/88
PBT		4,729,424	B1	MIZUNO	03/08/88
PBT		4,733,331	B1	CHAUVET	03/22/88
PBT		4,791,983	B1	NICOL	12/20/88
PBT		4,809,134	B1	TUSTANIWSKYJ	02/28/89
PBT		4,882,654	B1	NELSON	11/21/89
PBT		4,870,477	B1	NAKANISHI	09/26/89
PBT		4,977,444	B1	NAKAJIMA	12/11/90
PBT		5,144,531	B1	GO	09/01/92

**FOREIGN PATENT DOCUMENTS**

Exam Init	Cite No.	Cy	Number	Kind Code	Patentee or Applicant Name	Publication Date	T
PBT		WO	WO0029954	A1	MTI TECHNOLOGY CORPORATION	5/25/2000	<input type="checkbox"/>
PBT		WO	WO0072183	A2	CABLETRON SYSTEMS, INC.	11/30/2000	<input type="checkbox"/>
PBT		WO	WO0130007	A2	IBM; MCDATA CORPORATION	4/26/2001	<input type="checkbox"/>
PBT		WO	WO02089418	A1	THE BOEING COMPANY	11/7/2002	<input type="checkbox"/>
PBT		WO	WO0225870	A1	STORAGEAPPS, INC.	3/28/2002	<input type="checkbox"/>
PBT		EP	EP 0 623 876	A1	IBM CORP	11/9/1994	<input type="checkbox"/>
PBT		EP	EP 0 773 649	A2	SUN MICROSYSTEMS, INC.	5/14/1997	<input type="checkbox"/>
Examiner Signature					Date Considered	9/13/05	

**INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT Sheet 2 of 5**

Docket No. P5758-US-NP-0

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 For: METHOD, SYSTEM AND PROGRAM FOR CONFIGURING SYSTEM  
 RESOURCES  
 Examiner: Not Yet Assigned P. TRAN  
 Art Unit: 2151 2155

**U.S. PATENT DOCUMENTS**

Exam Initis	Cite No.	Patent Number	Kind Code	Patentee or Applicant Name	Issue Date
PBT		5,166,863	B1	SHMUNIS	11/24/92
PBT		5,177,667	B1	GRAHAM	01/05/93
PBT		5,183,104	B1	NOVOTNY	02/02/03
PBT		5,282,847	B1	KOIZUMI	02/01/94
PBT		5,323,847	B1	KOIZUMI	06/28/94
PBT		5,406,807	B1	ASHIWAKE	04/18/95
PBT		5,465,192	B1	YOSHIKAWA	11/07/95
PBT		5,535,094	B1	NELSON	07/09/96
PBT		5,588,119	B1	VINCENT	12/24/96
PBT		5,675,473	B1	MCDUNN	10/07/97
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PBT		5,950,011	B1	ALBRECHT	09/07/99
PBT		6,029,742	B1	BURWARD-HOY	02/29/00
PBT		6,031,528	B1	LANGFAHL	02/29/00
PBT		6,050,327	B1	GATES	04/18/00
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Exam Initis	Cite No.	Cy	Number	Kind Code	Patentee or Applicant Name	Publication Date	T
PBT		EP	EP 1 111 840	A2	NORTEL NETWORKS LIMITED	6/27/2001	<input type="checkbox"/>
PBT		GB	GB 2 278 468	A	INTERNATIONAL COMPUTERS LIMITED	11/30/1994	<input type="checkbox"/>
PBT		GB	GB 2 344 963	A1	NORTEL NETWORKS CORP	6/21/2000	<input type="checkbox"/>
PBT		GB	GB 2 354 611	A1	IBM CORP	3/28/2001	<input type="checkbox"/>
PBT		WO	WO9842102	A1	CROSSKEYS SYSTEMS CORPORATION	9/24/1998	<input type="checkbox"/>
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Examiner  
Signature

Philip Tran

Date  
Considered

9/13/05

**INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT Sheet 3 of 5**

Docket No. P5758-US-NP-0

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 RESOURCES  
 Examiner: ~~Not Yet Assigned~~ P. TRAN  
 Art Unit: 215T 2155

**U.S. PATENT DOCUMENTS**

Exam Inits	Cite No.	Patent Number	Kind Code	Patentee or Applicant Name	Issue Date
PBT		6,118,776	B1	BERMAN	09/12/00
PBT		6,125,924	B1	LIN	10/03/00
PBT		6,130,820	B1	KONSTAD	10/10/00
PBT		6,135,200	B1	OKOCHI	10/24/00
PBT		6,137,680	B1	KODAIRA	10/24/00
PBT		6,144,379	B1	BERTRAM	11/07/00
PBT		6,205,796	B1	CHU	03/27/01
PBT		6,205,803	B1	SCARINGE	03/27/01
PBT		6,213,194	B1	CHRYSLER	04/10/01
PBT		6,229,538	B1	MCINTYRE	05/08/01
PBT		6,313,990	B1	CHEON	11/06/01
PBT		6,396,697	B1	CHEN	05/28/02
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PBT		6,438,984	B1	NOVOTNY	08/27/02
PBT		6,477,572	B1	ELDERTON	11/05/02
PBT		6,526,768	B1	WALL	03/04/03
PBT		6,542,360	B1	KOIZUMI	04/01/03
PBT		6,587,343	B1	NOVOTNY	07/01/03
PBT		6,604,137	B2	COWAN	08/05/03
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**FOREIGN PATENT DOCUMENTS**

Exam Inits	Cite No.	Cy	Number	Kind Code	Patentee or Applicant Name	Publication Date	T
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Examiner Signature	Philip Tran	Date Considered	9/13/05
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**INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT Sheet 4 of 5**

Docket No. P5758-US-NP-0

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Serial No: 10/041,883  
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For: METHOD, SYSTEM AND PROGRAM FOR CONFIGURING SYSTEM  
RESOURCES  
Examiner: ~~Not Yet Assigned~~ P. TRAN  
Art Unit: 2151 → 2155

## U.S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

Examiner Signature	Philip Tran	Date Considered	9/13/05
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**INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT Sheet 5 of 5**

Docket No. P5758-US-NP-0

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 For: METHOD, SYSTEM AND PROGRAM FOR CONFIGURING SYSTEM  
 RESOURCES  
 Examiner: Not Yet Assigned P. TRAN  
 Art Unit: 2154 2155

**OTHER PRIOR ART – NON PATENT LITERATURE AND DOCUMENTS**

Exam Inits	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the articles (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
PBT		ANONYMOUS, "Jini: New Technology for a Networked World", URL: <a href="http://javaworld.com/javaworld/jw-06-1999/jw-06-jiniology_p.html">http://javaworld.com/javaworld/jw-06-1999/jw-06-jiniology_p.html</a> , 06/30/99, p. Pages 1-5	<input type="checkbox"/>
PBT		ANONYMOUS, "Pattern: Blackboard", <a href="http://www.vico.org/pages/Patrons%20Blackboard">http://www.vico.org/pages/Patrons%20Blackboard</a> , 07/12/02, p. Pages 1-2	<input type="checkbox"/>
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PBT		BRENT KNIGHT, "Reducing the Complexity of Managing Private Loops", / /, p. 1-11	<input type="checkbox"/>
PBT		BUSHMAN, "Pattern Oriented Software Architecture, A System of Patterns", / /, p. Pgs 71-95, John Wiley & Sons, New York	<input type="checkbox"/>
PBT		DUPUY, ET AL., "NETMATE: A NETWORK MANAGEMENT ENVIRONMENT", IEEE NETWORK, 03/05/91, p. 35-40, 43, New York	<input type="checkbox"/>
PBT		FINKE, ET AL., "Distribution and Inheritance in the HERON Approach to Heterogeneous Computing", Proceedings of the Int'l Conf on Distributed Computing Systems, 05/25/93, p. 399-408, IEEE Comp Soc Press, Los Alamitos	<input type="checkbox"/>
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			<input type="checkbox"/>

Examiner Signature	<i>Philip Tran</i>	Date Considered	9/13/05
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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT Sheet 1 of 2

Docket No. P5758

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 Filed: October 18, 2001  
 For: METHOD, SYSTEM AND PROGRAM FOR CONFIGURING SYSTEM  
 RESOURCES  
 Examiner: Not Yet Assigned P. TRAN  
 Art Unit: 2151 2155

### U.S. PATENT DOCUMENTS

Exam Inits	Cite No.	Document Number	Kind Code	Patentee or Applicant Name	Publication Date
PBT		2001/0044907	A1	Yoshimoto, et al.	11/22/2001
PBT		2002/0069377	A1	Mabuchi, et al.	6/6/2002
PBT		6,006,251	B1	Toyouchi, et al.	12/21/1999
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			A1		

### FOREIGN PATENT DOCUMENTS

Exam Inits	Cite No.	Cy	Number	Kind Code	Patentee or Applicant Name	Publication Date	T
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Examiner Signature	<i>Philip Tran</i>	Date Considered	9/13/05
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**INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT Sheet 2 of 2**

Docket No. P5758

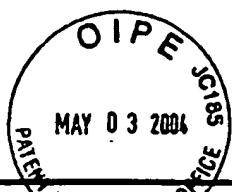
Applicant: Mark A. Carlson and Rowan E. da Silva  
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RESOURCES  
Examiner: ~~Not Yet Assigned~~ P. TRAN  
Art Unit: 2151 3155

## U.S. PATENT DOCUMENTS

## OTHER PRIOR ART – NON PATENT LITERATURE AND DOCUMENTS

Exam Inits	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the articles (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
PBT		Business Editors & High-Tech Writers, "Tivoli Simplifies SAN Management",	<input type="checkbox"/>
		Business Wire, October 9, 2000, New York	<input type="checkbox"/>

Examiner Signature	Philip Tran	Date Considered	9/13/05
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**INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT Sheet 1 of 1**

Docket No. P5758

Applicant: Mark A. Carlson and Rowan E. da Silva

Serial No: 10/041,883

Filed: October 18, 2001

For: METHOD, SYSTEM AND PROGRAM FOR CONFIGURING SYSTEM RESOURCES

Examiner: Not Yet Assigned P. TRAN

Art Unit: 2151 2155

**U.S. PATENT DOCUMENTS**

Exam Inits	Cite No.	Patent Number	Kind Code	Patentee or Applicant Name	Issue Date
PBT		6,243,747	B1	Lewis, et al.	6/2001

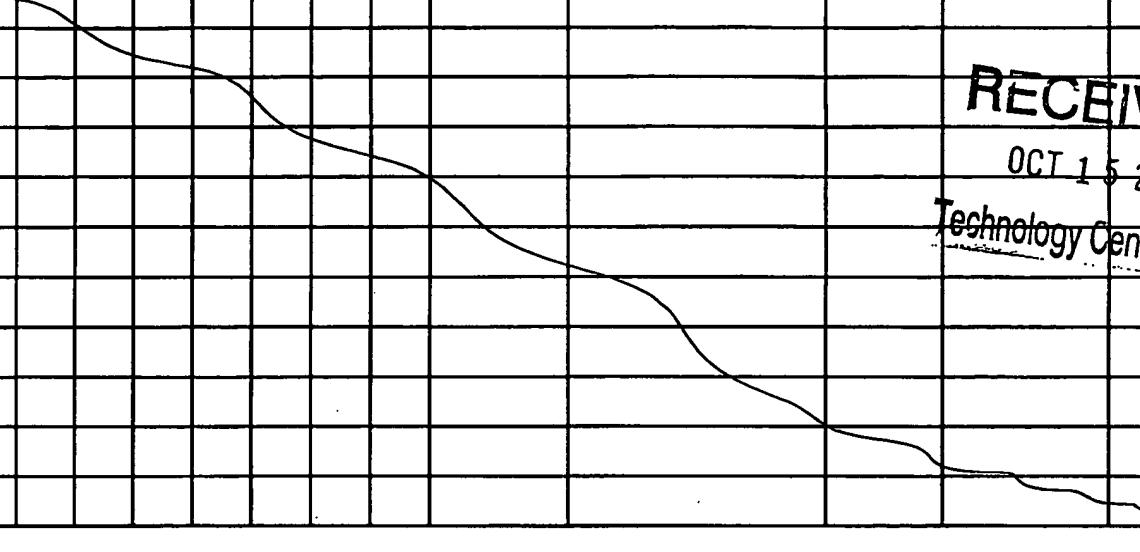
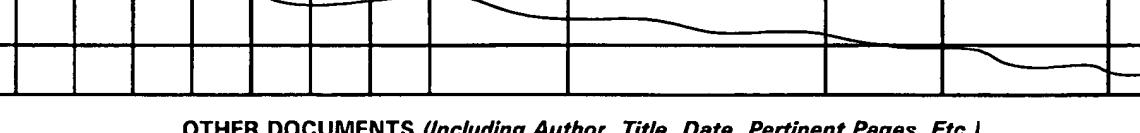
**FOREIGN PATENT DOCUMENTS**

Exam Inits	Cite No.	Cy	Number	Kind Code	Patentee or Applicant Name	Publication Date	T
PBT		WO	03/014911	A2	Sun Microsystems, Inc.	2/20/2003	<input type="checkbox"/>

**OTHER PRIOR ART – NON PATENT LITERATURE AND DOCUMENTS**

Exam Inits	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the articles (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
PBT		ANONYMOUS, "Jiro Technology Technical Overview", URL: <a href="http://sunsolve.sun.com/kmsattachments/22961">http://sunsolve.sun.com/kmsattachments/22961</a>	RECEIVED
PBT		MARTIN Jean-Christophe, "Policy-Based Networks", URL: <a href="http://www.sun.com/solutions/blueprints/1099/policy.pdf">http://www.sun.com/solutions/blueprints/1099/policy.pdf</a>	MAY 05 2004
PBT		ANONYMOUS, "Sun's Managed Storage Networks", URL: <a href="http://sunsolve.sun.com/kmsattachments/22955">http://sunsolve.sun.com/kmsattachments/22955</a>	Technology Center 2100
PBT		ANONYMOUS, "Jiro Technical Overview White Paper", Free White Papers/Tech Bulletins, Sun-Solve Search Result, URL: <a href="http://sunsolve.sun.com/pub-cgi/retrieve.pl?doc=fwpaper/22961@zone_32=jiro">http://sunsolve.sun.com/pub-cgi/retrieve.pl?doc=fwpaper/22961@zone_32=jiro</a>	<input type="checkbox"/>
PBT		ANONYMOUS, "Managed Storage Networks White Paper", Free White Papers/Tech Bulletins, Sun-Solve Search Result, URL: <a href="http://sunsolve.sun.com/pub-cgi/retrieve.pl?doc=fwpaper/22955@zone_32=managed">http://sunsolve.sun.com/pub-cgi/retrieve.pl?doc=fwpaper/22955@zone_32=managed</a>	<input type="checkbox"/>
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Examiner Signature	<i>Philip Tran</i>	Date Considered	9/13/05
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FORM PTO-1449 O I P A INFORMATION DISCLOSURE CITATION IN AN APPLICATION OCT 11 2002 Use several sheets if necessary P A T E N T & T R A D E M A R K O F F I C E				Docket Number P5758	Application Number 10/041,883	
				Applicant M.A. Carlson et al. Filing Date October 18, 2001 Group Art Unit 2151 2155		
U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
 <div style="text-align: right; margin-top: 10px;"> <b>RECEIVED</b>            OCT 15 2002            Technology Center 2100         </div>						
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation YES NO
						
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
PBT	Preliminary Amendment submitted in U.S. Patent Application No. 09/928,128, filed on August 10, 2001.					
PBT	U.S. Patent Application Serial No. 09/928,128, filed on August 10, 2001, entitled, "Method, System, and Program for Generating and Using Configuration Policies", invented by M.A. Carlson and R.E. de Silva.					
PBT	U.S. Patent Application Serial No. 09/927,985, filed on August 10, 2001, entitled, "Method, System, and Program for Managing Multiple Resources in a System", invented by M.A. Carlson and R.E. de Silva.					
PBT	Sun Microsystems, Inc., "Federated Management Architecture (FMA) Specification", Version 1.0, Rev. 0.4, January 21, 2000, pp. 1-184.					
PBT	Wilson, S., for Brocade Communications Systems, Inc., "Fibre Channel Storage Area Network Discovery", Rev. 3.5, September 10, 1999, pp. 1-20.					
PBT	Veritas Software Corporation, "Storage Virtualization", April 2001, pp. 1-19.					
PBT	Sun Microsystems, Inc., "Sun StorEdge Component Manager 2.1 User's Guide", Rev. A, July 2000, pp. 1-148					
EXAMINER	Philip Tran	DATE CONSIDERED	9/13/05			